

<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. §371</b>		Attorney Docket Number  <div style="text-align: center; font-size: 1.2em;">9150-0009.10</div>
		U.S. Application No. (if known, see 37 CFR §1.5)  <div style="text-align: center; font-size: 1.5em;">09/857651</div>
International Application No.  <div style="text-align: center;">PCT/JP99/06881</div>	International Filing Date  <div style="text-align: center;">08 December 1999</div>	Priority Date Claimed  <div style="text-align: center;">09 December 1998</div>
Title of Invention  <div style="text-align: center; font-weight: bold;">A METHOD FOR MANUFACTURING GLYCOPROTEINS HAVING HUMAN-TYPE GLYCOSYLATION</div>		
Applicant(s) for DO/EO/US  <div style="text-align: center; font-weight: bold;">Tatsuji Seki, Kazuhito Fujiyama, Toshiomi Yoshida</div>		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information		
<div style="font-family: monospace;">           1. <input type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. §371.            2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. §371.            3. <input type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. §371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. §371(b) and PCT Articles 22 and 39(1).            4. <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.            5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. §371(c)(2))                a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).                b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau.                c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).            6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. §371(c)(2)).            7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3))                a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).                b. <input type="checkbox"/> have been transmitted by the International Bureau.                c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.                d. <input type="checkbox"/> have not been made and will not be made.            8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. §371(c)(3)).            9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. §371(c)(4)) (unsigned).            10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. §371(c)(5)).            Items 11. to 16. below concern document(s) or information included:            11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR §1.97 and §1.98.            12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR §3.28 and §3.31 is included.            13. <input type="checkbox"/> A <b>FIRST</b> preliminary amendment.                <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSTITUTE</b> preliminary amendment.            14. <input type="checkbox"/> A substitute specification.            15. <input type="checkbox"/> A change of Power of Attorney and/or Address letter.            16. <input type="checkbox"/> Other items or information: Certificate of Express Mail         </div>		

U.S. Application No. (if known, see 37 CFR §1.5) <div style="font-size: 1.5em; font-weight: bold; margin-top: 10px;">09/857351</div>	International Application No. <div style="font-size: 1.2em; font-weight: bold; margin-top: 10px;">PCT/JP99/06881</div>	Attorney's Docket No. <div style="font-size: 1.2em; font-weight: bold; margin-top: 10px;">9150-0009.10</div>		
<p>17. <input type="checkbox"/> The following fees are submitted:</p> <p><b>BASIC NATIONAL FEE (37 CFR §1.492(a)(1)-(5)):</b></p> <p>Search Report has been prepared by the EPO or JPO ..... \$ 840.00</p> <p>International preliminary examination fee paid to USPTO (37 CFR §1.482) ..... \$ 670.00</p> <p>No international preliminary examination fee paid to USPTO (37 CFR §1.482) but international search fee paid to USPTO (37 CFR §1.445(a)(2)) ..... \$ 760.00</p> <p>Neither international preliminary examination fee (37 CFR §1.482) nor international search fee (37 CFR §1.445(a)(2)) paid to USPTO ..... \$ 970.00</p> <p>International preliminary examination fee paid to USPTO (37 CFR §1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) ..... \$ 96.00</p> <p style="text-align: right;"><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b> \$ 840.00</p>		<p><b>CALCULATIONS</b> <span style="float: right;">PTO USE ONLY</span></p>		
<p>Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claim priority date (37 CFR §1.492(e)).</p>		<p>\$</p>		
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims	14 - 20 =	0	0 x \$ 18.00	\$ 00.00
Independent Claims	3 - 3 =	0	0 x \$ 78.00	\$ 00.00
Multiple Dependent Claim(s) (if applicable)			+	\$
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$840.00</b>
Reduction of % for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR §1.9, §1.27, §1.28)				\$
<b>SUBTOTAL =</b>				<b>\$</b>
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR §1.942(f)).				\$
Fee for recording the enclosed assignment (37 CFR §1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR §3.28, §3.31). \$40.00 per property.				\$
<b>TOTAL FEES ENCLOSED =</b>				<b>\$840.00</b>
				Amount to be: refunded \$
				charged \$
<p>a. <input type="checkbox"/> A check in the amount of \$840.00 to cover the filing fee is enclosed.</p> <p>b. <input type="checkbox"/> Please charge Deposit Account No. <u>04-0531</u> in the amount of \$* to cover the above fees. This sheet is provided in triplicate.</p> <p>c. <input type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>04-0531</u>. This sheet is provided in triplicate.</p> <p>NOTE: Where an appropriate time limit under 37 CFR §1.494 or §1.495 has not been met, a petition to revive (37 CFR §1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p> <p>SEND ALL CORRESPONDENCE TO:</p> <div style="text-align: right; margin-top: 20px;"> Signature</div> <div style="display: flex; justify-content: space-between; margin-top: 20px;"><div>Iota Pi Law Group P.O. Box 60850 Palo Alto, CA 94306 Customer No. 22918</div><div>Name: <u>Peter J. Dehlinger</u> Registration No.: <u>28,006</u></div></div>				

DOCKET NO. 9150-0009.10

08/857651

581-9411

2001

IN THE UNITED STATES OF AMERICA AS AN ELECTED OFFICE  
(EO/US)

IN RE APPLICATION OF: Tatsuji Seki et al.

SERIAL NO.: Not yet Assigned

PCT FILING DATE: 08 December 1999

PRIORITY DATE: 09 December 1998

Title: A METHOD FOR MANUFACTURING GLYCOPROTEINS HAVING HUMAN-TYPE  
GLYCOSYLATION

CERTIFICATE OF MAILING BY EXPRESS MAIL

Box: PCT  
Assistant Commissioner for Patents  
Washington, D.C. 20231  
Attn: DO/EO/US

Sir:

Express Mail Label No: EL 530 372 429 US  
Date of Deposit: June 7, 2001

I hereby certify that the attached filing: application transmittal under 35 USC § 371, an oath or declaration of the inventors under 35 U.S.C § 371, a Preliminary Amendment, and an attached check is being deposited with the United States Postal Service "Express Mail Post office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above, and is addressed to the Assistant Commissioner for Patents, Box PCT, Washington, D.C. 20231, Attention: DO/EO/US.

Respectfully submitted,

6/7/01  
Date of Signature

Deborah H. Brockmeyer  
Name: Deborah H. Brockmeyer

Correspondence Address:

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